APPENDIX A

Wave 9 Questionnaire

1996 Panel - Wave 9 Topical Modules

MEDICAL EXPENSES AND UTILIZATION OF HEALTH CARE TOPICAL MODULE

-ME01-

These next few questions are about your health. Would you say your health in general is excellent, very good, good, fair, or poor?

- (1) Excellent
- (2) Very good
- (3) Good
- (4) Fair
- (5) Poor

-ME02-

During the past 12 months, that is, the period from today back to this date one year ago, were you a patient in a hospital overnight or longer?

- (1) Yes
- (2) No

-ME03-

How many nights in all did you spend in a hospital of any type during the past 12 months?

ENTER "N" FOR NONE OR NO TIMES

_____ Nights

-ME04-

Which of the following best describes the reasons why you entered the hospital during the most recent stay of one night or longer.

FR NOTES: A) READ ANSWER CATEGORIES BELOW . B) ACCEPT MORE THAN ONE RESPONSE IF OFFERED, BUT DO NOT PROBE FOR MULTIPLE RESPONSES.

(MARK ALL THAT APPLY)

(1) Yes - Applies

(2) No - Does not apply

_____ Diagnostic Tests only

_____ Give birth, including cesarean section

_____ Operation or surgical procedure

- _____ Treatment or therapy, not including surgery
- _____ Any other reason

-ME05-

During the past 12 months, did you take any prescription medications?

- (1) Yes
- (2) No

-ME06-

Do you take prescription medicines on a daily basis?

(1) Yes

(2) No

-ME07-

Do you have the Flashcard pamphlet we sent you in the mail? It would have come with the introductory letter.

(1) Yes

-ME08-

During the past 12 months, how many visits did you make to a dentist or other dental professional?

ENTER "N" FOR NONE OR NO TIMES ENTER "H" FOR FLASHCARD KK

_____ Times

-H_VDT-

FLASHCARD KK

DENTIST DENTAL OR ORAL SURGEONS ORTHODONTISTS DENTAL HYGIENISTS DENTAL TECHNICIANS DENTAL ASSISTANTS OTHER DENTAL SPECIALIST

PRESS ENTER TO CONTINUE

-ME09-

Have you lost any of your permanent adult teeth?

(1) Yes

(2) No

-ME10-

Have you lost all of your permanent adult teeth?

(1) Yes

-ME11-

During the past 12 months, how many times did you see or talk to a medical doctor or other medical provider about your health?

ENTER "N" FOR NONE OR NO TIMES ENTER "H" FOR FLASHCARD LL

_____ Times

-H_VDR-

FLASHCARD LL

PHYSICIANS	OCCUPATIONAL THERAPISTS	
NURSES, NURSE PRACTITIONERS	AUDIOLOGISTS	
PARAMEDICS	PSYCHIATRISTS, PSYCHOLOGISTS	
HEALTH AIDES	PSYCHIATRIC SOCIAL WORKERS	
PHYSICIAN ASSISTANTS	MENTAL HEALTH THERAPISTS	
CHIROPRACTORS	LAB OR X-RAY TECHNICIAN	
MIDWIVES, NURSE MIDWIVES	OTHER MEDICAL PROVIDER	
OPTOMETRISTS/OPHTHALMOLOGISTS		
PODIATRISTS		
PHYSICAL THERAPISTS		
SPEECH THERAPISTS		

PRESS ENTER TO CONTINUE

-ME12-

Did that visit or call include contact with a physician?

(1) Yes

-ME13-

About how many of those visits or calls included contact with a physician?

ENTER "A" FOR ALL TIMES ENTER "N" FOR NONE OR NO TIMES

_____ Times

-ME14-

In the last 12 months, did you purchase any other medical supplies or services such as those shown on this card?

ENTER "H" FOR FLASHCARD MM

- (1) Yes
- (2) No

-H_VMD-

FLASHCARD MM

EYEGLASSES OR CONTACT LENSES DIABETIC EQUIPMENT OR SUPPLIES OVER THE COUNTER MEDICINES TRANSPORTATION SERVICES MENTAL HEALTH SERVICES HOME HEALTH CARE OTHER MEDICAL SUPPLIES/EQUIPMENT/SERVICES

PRESS ENTER TO CONTINUE

-ME15-

During the past 12 months, about how many days did illness or injury keep you in bed more than half of the day?

ENTER "N" FOR NONE OR NO TIMES

_____ Days

-ME16-

During the past 12 months, about how much did you pay for health insurance? During the past 12 months, about how much did you pay for health insurance for yourself or others in the household?

NOTE TO FR: If someone else in the household pays for the health insurance that covers this respondent, do NOT try to separate the amounts for each person. Just mark N (none) for this respondent and mark the whole amount when you ask this question for the person who pays the premium.

ENTER "N" FOR NO PAYMENTS

____ Dollars

-ME17-

Was it ...

- (1) less than 500
- (2) \$500 to \$1000
- (3) \$1000 to \$5000
- (4) \$5000 to \$10000
- (5) \$10000 or more

-ME18-

During the past 12 months, about how much was paid for your own medical care? Include any amount paid on your behalf by another person in this household.

ENTER "N" FOR NO PAYMENTS

_____ Dollars

-ME19-

Was it...

- (1) less than \$500
- (2) \$500 to \$1000
- (3) \$1000 to \$5000
- (4) \$5000 to \$10000
- (5) \$10000 or more

-ME20-

Were these amounts for medical care and health insurance the total cost to your household or did you get reimbursed by some outside source?

(1) Total Cost

(2) Got Reimbursed

(3) Expects to get reimbursed but has not yet

-ME21-

How much of these expenses were reimbursed?

ENTER "N" FOR NONE ENTER "A" FOR ALL EXPENSES REIMBURSED

____ Dollars

OR

_____% (percent reimbursed if answer given as a percentage)

-ME22-

The next few questions are about the health of your child(ren)

(read above for names of all children).

Would you say (child's name)'s health in general is excellent, very good, good, fair, or poor?

(1) Excellent

(2) Very good

(3) Good

(4) Fair

(5) Poor

-ME23-

During the past 12 months, was your child(ren) (read above for names of all children) a patient in a hospital overnight or longer?

(1) Yes

-ME24-

Which children were in a hospital overnight or longer?

ENTER "A" FOR ALL ENTER LINE NUMBER OF EACH CHILD

(N) No more

-ME25-

How many nights in all did (child's name) spend in a hospital of any type during the past 12 months?

ENTER "N" FOR NONE OR NO TIMES

_____ Nights

-ME26-

Which of the following best describes the reasons why (child's name) entered the hospital during the most recent visit of one night or longer.

FR NOTES: A) READ ANSWER CATEGORIES BELOW. B) ACCEPT MORE THAN ONE RESPONSE IF OFFERED, BUT DO NOT PROBE FOR MULTIPLE RESPONSES.

(MARK ALL THAT APPLY)

(1) Yes - Applies

- (2) No Does not apply
- _____ Diagnostic Tests only
- _____ Give birth, including cesarean section (mother)
- _____ To be born (baby)
- _____ Operation or surgical procedure
- _____ Treatment or therapy, not including surgery
- _____ Any other reason

-ME27-

During the past 12 months did (read above for names of all children) take any prescription medications?

(1) Yes

(2) No

-ME28-

Which children took prescription medications?

ENTER "A" FOR ALL ENTER LINE NUMBER OF EACH CHILD

(N) No more

-ME29-

Does (child's name) take prescription medicines on a daily basis?

(1) Yes

(2) No

-ME30-

During the past 12 months, did (read above for names of all children) visit a dentist, or other dental professional?

ENTER "H" FOR FLASHCARD KK

(1) Yes

(2) No

-ME31-

Which children visited a Dentist?

ENTER "A" FOR ALL ENTER LINE NUMBER OF EACH CHILD

(N) No more

-ME32-

During the past 12 months, how many visits did (child's name) make to a dentist?

ENTER "N" FOR NONE OR NO TIMES

_____ Times

-ME33-

Dental sealants are special plastic coatings that are painted on the tops of the back teeth to prevent tooth decay. They are different from fillings, caps, crowns, and fluoride treatments. Has (child's name) ever had dental sealants painted on his/her teeth?

(1) Yes

(2) No

-ME34-

During the past 12 months, did you or anyone else see or talk to a medical doctor or other medical provider about (read above for names of all children)'s health?

ENTER "H" FOR FLASHCARD LL

- (1) Yes
- (2) No

-ME35-

For which children?

ENTER "A" FOR ALL ENTER LINE NUMBER OF EACH CHILD

ENTER "N" FOR NONE, OR FOR "NO MORE" AFTER LINE ENTRIES

-ME36-

During the past 12 months, about how many times did you or anyone else see or talk to a medical doctor or other medical provider about (child's name)'s health?

ENTER "N" FOR NONE OR NO TIMES

_____ Times

-ME37-

Did that visit or call include contact with a physician?

(1) Yes

(2) No

-ME38-

In the past 12 months, about how many of the visits or calls included contact with a physician?

ENTER "A" FOR ALL VISITS ENTER "N" FOR NONE

_____ Times

-ME39-

In the last 12 months, did you or anyone else buy for (read above for names of all children) any other medical supplies or services such as those shown on this card?

ENTER "H" FOR FLASHCARD MM

(1) Yes

-ME40-

For which children were purchases made?

ENTER "A" FOR ALL ENTER LINE NUMBER OF EACH CHILD

(N) No more

-ME41-

We have recorded that your health or condition prevents you from working. For how long have you been prevented from working? Has it been a year or longer, or has it been less than a year?

(1) A year or longer

(2) Less than a year

-ME42-

Is it likely that you will be able to work at some time in the next 12 months?

(1) Yes

WORK RELATED EXPENSES AND CHILD SUPPORT TOPICAL MODULES

-PV01-

Now I have a few questions about your work related expenses, including transportation to work.

Let's talk about your employment with (Employer's Name) During the typical week, how did you get to work? Did you drive, ride in someone else's vehicle, take public transportation, use some combination, or some other way?

MARK ALL THAT APPLY

ENTER (N) FOR NO MORE

- (1) Drove own vehicle
- (2) Rider in someone else's vehicle/van pool
- (3) Public transportation (bus, train, subway, etc.)
- (4) Walked or bicycled
- (5) Other

-PV02-

Now I have a few questions about your work related expenses, including transportation to work.

Let's talk about your employment with (Business Name) During the typical week, how did you get to work? Did you drive, ride in someone else's vehicle, take public transportation, use some combination, or some other way?

MARK ALL THAT APPLY ENTER (N) FOR NO MORE

- (1) Drove own vehicle
- (2) Rider in someone else's vehicle/van pool
- (3) Public transportation (bus, train, subway, etc.)
- (4) Walked or bicycled
- (5) Other

-PV03-

Now I have a few questions about your work related expenses, including transportation to work.

During the typical week, how did you get to your work? Did you drive, ride in someone else's vehicle, take public transportation, use some combination, or some other way?

MARK ALL THAT APPLY

ENTER (N) FOR NO MORE

- (1) Drove own vehicle
- (2) Rider in someone else's vehicle/van pool
- (3) Public transportation (bus, train, subway, etc.)
- (4) Walked or bicycled
- (5) Other

-PV04-

Altogether, about how many miles per week did you usually drive/ride as part of your work commute?

_____ Miles per week

-PV05-

Do you have to pay for parking or tolls as a part of your work-commuting expenses?

(1) Yes

(2) No

-PV06-

Typically, how much did you spend PER WEEK for parking or tolls?

\$_____

-PV07-

During a typical week, about how much were your work commuting expenses?

\$_____

-PV08-

Not counting expenses your employer paid, did you have any work-related expenses such as licenses, permits, union dues, special tools, or uniforms for your work?

(1) Yes (2) No

-PV09-

Altogether, how much were your annual expenses for such items?

\$_____

-PV10-

Do you have any children who lived elsewhere with their other parent or guardian at anytime during the past 4 months?

(1) Yes

(2) No

-PV11-

How many children?

-PV12-

In the past 4 months, were you required to pay child support?

(FR NOTE: Include payments made directly to the other parent or guardian, payments made through a court or an agency, payments withheld from this persons' paycheck)

(1) Yes

-PV13-

How much did you pay in child support in:

ENTER (N) FOR NONE/NO MORE. ENTER (S) FOR SAME AS PREVIOUS AMOUNT.

Month 4	
Month 3	
Month 2	
Month 1	

ASSETS AND LIABILITIES TOPICAL MODULE

-AL01A-

As of (the last day of the reference period), did anyone outside of this household owe money to you as the result of the sale of a business or property? Exclude mortgages owed to you which have already been reported.

(1) Yes

(2) No

-AL01B-

How much was owed to you? If shared, count only your share.

\$_____

-AL02A-

I recorded earlier that you owned Series E or EE U.S. Savings Bonds. Did you own them as of (the last day of the reference period)?

(1) Yes

(2) No

-AL02B-

What was the FACE VALUE of the U.S. Savings Bonds that you owned?

If ownership was shared, count only your share.

\$_____

-AL02D-

As of (the last day of the reference period), did you own jointly with your spouse any checking accounts which did not earn interest?

(Do not include any jointly owned interest-earning checking accounts reported earlier.)

(1) Yes (2) No

-AL02E-

What is your best estimate of the amount of money you and your spouse had in those checking accounts as of (the last day of the reference period)?

(N) None

\$_____

-AL02F-

As of (the last day of the reference period), did you and your souse together owe any money for -

(1) Yes

(2) No

Store bills or credit card bills?	
Loans obtained through a bank or credit union, other than car loans or home equity loans?	
Any other debt we have not yet mentioned, including medical bills not covered by insurance, money owed to private individuals, or any other debt not covered and excluding mortgages, home equity loans, and car loans?	

-AL03A-

How much was owed as of (the last day of the reference period) for -

Store bills or credit card bills?	\$
Loans obtained through a bank or credit union, other than	
car loans or home equity loans?	\$
Any other debt we have not yet mentioned including medical	
bills not covered by insurance, money owed to	
private individuals, and any other debt not covered and	
excluding mortgages, home equity loans, and car loans?	\$

-AL04A-

Beside any checking accounts owned jointly with your spouse, as of (the last day of the reference period), did you own any other checking accounts which did NOT earn interest in your OWN name? As of (the last day of the reference period), did you own any checking accounts which did NOT earn interest in your OWN name?

(1) Yes (2) No

-AL04B-

What is your best estimate of the amount of money you had in those checking accounts as of (the last day of the reference period)?

(N) None

\$_____

-AL04C-

Did you have any debts, such as credit card bills, loans from a financial institution, or educational loans, in your OWN name?

(1) Yes

(2) No

-AL04D-

As of (the last day of the reference period), did you owe any money in your own name for

(1) Yes

(2) No

Store bills or credit card bills?

Loans obtained through a bank or credit union, other than car loans or home equity loans?

Any other debt we have not yet mentioned including medical bills not covered by insurance, money owed to private individuals, and any other debt not covered and excluding mortgages, home equity loans, and car loans?

-AL05A-

How much was owed as of (the last day of the reference period) for -	
Store bills or credit card bills?	\$
Loans obtained through a bank or credit union, other than car loans or home equity loans? Any other debt we have not yet mentioned including medical bills not covered by insurance, money owed to private individuals, and any other debt not covered and excluding mortgages, home equity loans, and car loans?	\$ \$

-AL06A-

I recorded earlier that you owned an IRA or KEOGH account.

As of (the last day of the reference period), did you have any IRAs (Individual Retirement Accounts) in your OWN name?

(1) Yes

(2) No

-AL06B-

For how many years have you contributed to your IRA accounts?

(L) Less than 1 Year

_____Years

-AL06C-

As of (the last day of the reference period), what was the total balance or market value (including interest earned) of the IRA accounts in your own name?

(N) None

\$_____

-AL06D-

Was the total -

- (1) Less than \$ 5,000
- (2) \$ 5,000 to \$25,000
- (3) \$25,001 to \$50,000
- (4) More than \$50,000?

-AL06E-

As of (the last day of the reference period), which kinds of assets did you hold in your IRA accounts? Was your IRA account invested in (READ CATEGORIES) -

Enter "N" after last category.

- (1) Certificates of deposit or other saving certificates
- (2) Money market funds
- (3) U.S. Government securities
- (4) Municipal or corporate bonds
- (5) U.S. Savings Bonds
- (6) Stocks or mutual fund shares
- (7) Other assets

-AL06F-

Please specify the Other Assets.

- 1) _____
- 2) _____

-AL06G-

As of (the last day of the reference period), did you have a KEOGH account in your OWN name?

(1) Yes (2) No

-AL06H-

For how many years have you contributed to your KEOGH account?

(L) Less than 1 Year

_____Years

-AL06I-

As of (the last day of the reference period), what was the total balance or market value of assets in your KEOGH account(s)?

(N) None

\$_____

-AL06J-

Was the total -

(1) Less than \$ 5,000

(2) \$5,000 to \$25,000

(3) \$25,001 to \$50,000

(4) More than \$50,000?

-AL06K-

As of (the last day of the reference period), which kinds of assets did you hold in your KEOGH account(s)?

Was your KEOGH account invested in (READ CATEGORIES) -

Enter 'N' after last category

- (1) Certificates of deposit or other savings certificates
- (2) Money market funds
- (3) U.S. Government securities
- (4) Municipal or corporate bonds
- (5) U.S. Savings bonds
- (6) Stocks or mutual fund shares
- (7) Other assets

-AL06L-

Please specify the other assets held.

1) _____ 2) _____

-AL07A-

I recorded earlier that you participated in a 401K or thrift plan.

As of (the last day of the reference period), did you have any 401K or thrift plan accounts in your OWN name?

(1) Yes

(2) No

-AL07B-

For how many years have you contributed to your 401K or thrift plans?

(L) Less than 1 Year

-AL07C-

As of (the last day of the reference period), what was the total balance or market value (including interest earned) of any 401K or thrift plans held in your own name?

(N) None

\$_____

-AL07D-

Was the total -

(1) Less than \$ 5,000

- (2) \$ 5,000 to \$25,000
- (3) \$25,001 to \$50,000
- (4) More than \$50,000?

-AL07E-

As of (the last day of the reference period), which kinds of assets did you hold in your 401K or thrift plans?

Was your 401K/thrift plan invested in (READ CATEGORIES) -

Enter "N" after last category.

- (1) Certificates of deposit or other saving certificates
- (2) Money market funds
- (3) U.S. Government securities
- (4) Municipal or corporate bonds
- (5) U.S. Savings Bonds
- (6) Stocks or mutual fund shares
- (7) Other assets

-AL07F-

Please specify the Other Assets.

- 1) _____
- 2) _____

-AL07G-

As of (the last day of the reference period), did you have any life insurance? Include group policies provided by employers.

(1) Yes (2) No

-AL07H-

What is the CURRENT FACE VALUE of ALL life insurance policies that you have?

\$_____

-AL07I-

What types of life insurance you have - is it "term insurance", "whole life", or do you have both of these types?

(1) Term only

(2) Whole life only

(3) Both types

-AL08A-

Are any of your life insurance policies provided through your current employer(s)?

(1) Yes

(2) No

-AL08B-

What is the FACE VALUE of the life insurance policies provided through your employer(s)?

\$_____

REAL ESTATE, SHELTER COSTS, DEPENDENT CARE AND VEHICLES TOPICAL MODULE

-RE01-

The next questions are about housing costs and automobile ownership.

PRESS "ENTER" TO CONTINUE

-RE02-

ASK IF NOT APPARENT:

Is this residence a mobile home?

(1) Yes (2) No

-RE03-

Which persons in this household are the owners of this home?

ENTER LINE NUMBER OF PERSON(S) IN HOUSEHOLD WHO OWN THE HOME. ENTER (N) FOR NONE/NO MORE

-RE04-

When was this home purchased?

MONTH: _____

YEAR: _____

-RE05-

Is there a mortgage, home equity loan, or other debt on this home?

FR NOTE: Include rental properties attached to or located in the residence.

(1) Yes

(2) No

-RE06-

Altogether, how many mortgages, home equity loans, or other debts are there on this home?

FR NOTE: If respondent reports "0" enter "N" for None.

Number (N) None

-RE07-

First Mortgage

How much principal is currently owed on the first mortgage or loan?

If possible, please check any records you may have from the lender or mortgage company to obtain the most accurate estimate available.

\$_____

-RE08-

First Mortgage

In what year was the first mortgage or loan obtained?

If the mortgage was assumed, report the original date of the mortgage.

YEAR: _____

-RE09-

First Mortgage

And in which month was the first mortgage or loan obtained?

Month: _____

-RE10-

First Mortgage

What was the amount of the mortgage or loan when it was obtained or last refinanced?

If the mortgage was assumed, give the original amount of the mortgage.

\$_____

-RE11-

First Mortgage

What is the total number of years over which payments are to be made?

_____ Number of Years (N) Not fixed

-RE12-

First Mortgage

What is the current annual interest rate on this mortgage or loan?

FR NOTE: ENTER PERCENT FROM 00.01% TO 99.99%

_____ %

-RE13-

First Mortgage

Is the interest rate variable or fixed?

FR NOTE : Variable interest rates can change over the term of the mortgage or loan.

(1) Variable interest rate

(2) Fixed interest rate

-RE14-

First Mortgage

Was this mortgage obtained through an FHA or VA mortgage program?

Yes - FHA LOAN
 Yes - VA LOAN
 No

-RE15-

Second Mortgage

How much principal is currently owed on the second mortgage or loan?

If possible, please check any records you may have from the lender or mortgage company to obtain the most accurate estimate available.

\$_____

-RE16-

Second Mortgage

In what year was the second mortgage or loan obtained?

If the mortgage was assumed, report the original date of the mortgage.

ENTER 4 DIGIT YEAR: _____

-RE17-

Second Mortgage

And in which month was the second mortgage or loan obtained?

Month: _____

-RE18-

Second Mortgage

What was the amount of the mortgage or loan when it was obtained or last refinanced?

If the mortgage was assumed, give the original amount of the mortgage.

\$_____

-RE19-

Second Mortgage

What is the total number of years over which payments are to be made?

_____ Number of years (N) Not fixed

-RE20-

Second Mortgage

What is the current annual interest rate on this mortgage or loan?

FR NOTE: ENTER PERCENT FROM 00.01% TO 99.99%

_____%

-RE21-

Second Mortgage

Is the interest rate variable or fixed?

FR NOTE: Variable interest rates can change over the term of the mortgage or loan.

(1) Variable interest rate

(2) Fixed interest rate

-RE22-

Second Mortgage

Was this mortgage obtained through an FHA or VA mortgage program?

Yes - FHA LOAN
 Yes - VA LOAN
 No

-RE23-

Third+ Mortgage

How much principal is currently owed on all the remaining mortgages or loans not reported previously?

If possible, please check any records you may have from any other lender or mortgage company to obtain the most accurate estimate available.

\$_____

-RE24-

What is the current value of this property; that is, how much do you think it would sell for on today's market if it were for sale? Include rental properties attached to or located on this residence.

\$_____

-RE25-

Mobile Home

Is there a mortgage, installment loan, contract to purchase, or other debt on this mobile home or site?

(1) Yes

(2) No

-RE26-

Mobile Home

Is this mortgage, contract, or other debt for just the site, or does it also apply to this mobile home?

(1) Mobile home only(2) Site only

(3) Site and home

-RE27-

Mobile Home

How much principal is currently owed on all mortgages?

\$_____

-RE28-

Mobile Home

How much do you think this mobile home would sell for today if it were for sale?

\$ _____

-RE29-

How much was this household's (rent/mortgage (loan) payment) last month? Include any condominium or association fees.

FR NOTE: If respondent reports "0" enter "N" for None.

(N) None

\$_____

-RE30-

How much did this household pay for electricity, gas, basic telephone service, and other utilities last month?

FR NOTE: If respondent reports "0" enter "N" for None.

\$ _____ (N) Nothing or included in rent (H) Help

-RE31-

Did more than one of the persons living here pay the (rent/mortgage/loan) and utilities last month?

(1) Yes

(2) No

-RE32-

Which person paid?

ENTER LINE NUMBER OF PERSON WHO PAID

-RE33-

Which persons paid and how much did each pay?

ENTER LINE NUMBERS OF PERSONS WHO PAID. ENTER (N) FOR NO MORE

 Line number
 Amount paid last month

 Person 1:
 \$_____

 Person 2:
 \$_____

 Person 3:
 \$_____

-RE34-

Last month, did anyone here pay for the care of a child or a disabled person so that a household member could work, attend training, or look for a job?

(1) Yes

(2) No

-RE35-

What was the total cost of these care arrangements last month?

\$_____

-RE36-

Other real estate

Do you own any other real estate such as a vacation home or undeveloped lot? Exclude rental property previously reported or rental property attached to or located on the same land as your own residence.

(1) Yes (2) No

-RE37-

Other real estate

Which household members own this property?

ENTER LINE NUMBERS OF HOUSEHOLD MEMBERS WHO OWN PROPERTY. ENTER (N) FOR NONE/NO MORE.

-RE38-

Other real estate

What is the total value of the equity in this real estate?

\$_____ (H) Help

-RE39-

Does anyone in this household own a car, van, or truck, excluding recreational vehicles (RV's) and motorcycles?

FR NOTE: Do not include leased vehicles or company cars as being owned by the respondent.

(1) Yes (2) No

-RE40-

How many cars, trucks, or vans do you own?

FR NOTE: Do not include leased vehicles or company cars as being owned by the respondent.

_____ Number of motor vehicles

-RE41-

Vehicle 1: Newest vehicle

Who owns (this vehicle/the newest motor vehicle)?

ENTER LINE NUMBER OF PERSON(S) WHO OWN MOTOR VEHICLE. ENTER (N) FOR NO MORE.

-RE42-

Vehicle 1: Newest vehicle

_

What is the model year of this vehicle?

(ENTER 4 DIGIT YEAR)

-RE43-

Vehicle 1:Newest vehicle

What is the make of this vehicle?

ALL MINIVANS ARE CLASSIFIED AS A TRUCK (E.G.,ENTER CODE 13 DODGE TRUCK FOR DODGE CARAVAN).

ALL FOREIGN MODELS (TRUCKS AND PASSENGER CARS), MADE IN THE U.S. OR ABROAD, APPEAR IN THE SAME CATEGORY (E.G., TOYOTA CAMRY AND TOYOTA TACOMA APPEAR UNDER CODE 51 FOR TOYOTA).

(01) ACURA	(28) LEXUS
(02) ALFA ROMEO	(29) LINCOLN
(03) AUDI	(30) LINCOLN TRUCK
(04) BMW	(31) MAZDA
(05) BUICK	(32) MERCEDES-BENZ
(06) CADILLAC	(33) MERCURY
(07) CHEVROLET	(34) MERCURY TRUCK
(08) CHEVROLET TRUCK	(35) MITSUBISHI
(09) CHRYSLER	(36) NISSAN
(10) CHRYSLER TRUCK	(37) OLDSMOBILE
(11) DAIHATSU	(38) OLDSMOBILE TRUCK
(12) DODGE	(39) PEUGEOT
(13) DODGE TRUCK	(40) PLYMOUTH
(14) EAGLE	(41) PLYMOUTH TRUCK
(15) FORD	(42) PONTIAC
(16) FORD TRUCK	(43) PONTIAC TRUCK
(17) GEO	(44) PORSCHE
(18) GMC TRUCK	(45) RANGE ROVER
(19) HONDA	(46) SAAB
(20) HYUNDAI	(47) SATURN
(21) INFINITI	(48) STERLING
(22) ISUZU	(49) SUBARU
(23) JAGUAR	(50) SUZUKI
(24) JEEP	(51) TOYOTA
(25) JEEP TRUCK	(52) VOLKSWAGON
(26) KIA	(53) VOLVO
(27) LAND ROVER	(99) OTHER MAKE

-RE44-

Vehicle 1:Newest vehicle

What is the make of this vehicle?

[LIST OF VEHICLE MAKES]

-RE45-

Vehicle 1: Newest Vehicle

What is the model of this vehicle?

[LIST OF VEHICLE MODELS]

-RE46-

Vehicle 1: Newest Vehicle

What is the model of this vehicle?

[LIST OF VEHICLE MODELS]

-RE47-

Vehicle 1: Newest Vehicle

Is this vehicle owned free and clear, or is there still money owed on it?

(1) Money owed

(2) Free and clear

-RE48-

Vehicle 1: Newest Vehicle

How much is currently owed for this vehicle?

-RE49-

Vehicle 1: Newest Vehicle

Is this vehicle used primarily either for business purposes or for the transportation of a disabled person?

(1) Yes

(2) No

-RE50-

Vehicle 2: Second newest vehicle

Who owns (the other vehicle/the second newest motor vehicle)?

ENTER LINE NUMBER OF PERSON(S) WHO OWN MOTOR VEHICLE.

ENTER (N) FOR NO MORE.

-RE51-

Vehicle 2: Second newest vehicle

What is the model year of this vehicle?

(ENTER 4 DIGIT YEAR)

-RE52-

Vehicle 2: Second newest vehicle

What is the make of this vehicle?

ALL MINIVANS ARE CLASSIFIED AS A TRUCK (E.G., ENTER CODE 13 DODGE TRUCK FOR DODGE CARAVAN.)

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(24) JEEP	(51) TOYOTA
(25) JEEP TRUCK	(52) VOLKSWAGON
(26) KIA	(53) VOLVO
(27) LAND ROVER	(99) OTHER MAKE

-RE53-

Vehicle 2: Second newest vehicle

What is the make of this vehicle?

[LIST OF VEHICLE MAKES]

-RE54-

Vehicle 2: Second newest vehicle

What is the model of this vehicle?

[LIST OF VEHICLE MODELS]

-RE55-

Vehicle 2: Second newest Vehicle

What is the model of this vehicle?

[LIST OF VEHICLE MODELS]

-RE56-

Vehicle 2: Second newest vehicle

Is this vehicle owned free and clear, or is there still money owed on it?

(1) Money owed

(2) Free and clear

-RE57-

Vehicle 2: Second newest vehicle

How much is currently owed for this vehicle?

-RE58-

Vehicle 2: Second newest vehicle

Is this vehicle used primarily either for business purposes or for the transportation of a disabled person?

(1) Yes

(2) No

-RE59-

Vehicle 3: Third newest vehicle

Who owns the third newest motor vehicle?

ENTER LINE NUMBER OF PERSON(S) WHO OWNS MOTOR VEHICLE.

ENTER (N) FOR NO MORE.

-RE60-

Vehicle 3: Third newest vehicle

What is the model year of this vehicle?

(ENTER 4 DIGIT YEAR)

-RE61-

Vehicle 3: Third newest vehicle

What is the make of this vehicle?

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(24) JEEP	(51) TOYOTA		
(25) JEEP TRUCK	(52) VOLKSWAGON		
(26) KIA	(53) VOLVO		
(27) LAND ROVER	(99) OTHER MAKE		

-RE62-

Vehicle 3: Third newest vehicle

What is the make of this vehicle?

[LIST OF VEHICLE MAKES]

-RE63-

Vehicle 3: Third newest vehicle

What is the model of this vehicle?

[LIST OF VEHICLE MODELS]

-RE64-

Vehicle 3: Third newest vehicle

What is the model of this vehicle?

[LIST OF VEHICLE MODELS]

-RE65-

Vehicle 3: Third newest vehicle

Is this vehicle owned free and clear, or is there still money owed on it?

(1) Money owed

(2) Free and clear

-RE66-

Vehicle 3: Third newest vehicle

How much is currently owed for this vehicle?

-RE67-

Vehicle 3: Third newest vehicle

Is this vehicle used primarily either for business purposes or for the transportation of a disabled person?

(1) Yes (2) No

-RE68-

Does anyone in this household own any other type of vehicle, not used for business, such as a motorcycle, boat, or recreational vehicle (RV)?

(1) Yes

(2) No

-RE69-

Does anyone own:

1=Yes 2=No

(1) A motorcycle?	_
(2) A boat?	
(3) A recreational vehicle (RV)?	
(4) Another type of vehicle?	_

-RE70-

Other vehicle 1

_

Which household members own (a motorcycle/a boat/a recreational vehicle (RV)/another type of vehicle)?

ENTER LINE NUMBER FOR HOUSEHOLD MEMBER(S). ENTER (N) FOR NO MORE.

-RE71-

Other vehicle 1

If this vehicle were sold, what would it sell for in its present condition?

\$_____

-RE72-

Other vehicle 1

Is this vehicle owned free and clear, or is there still money owed on it?

(1) Money owed

(2) Free and clear

-RE73-

Other vehicle 1

How much is currently owed for this vehicle?

\$_____

-RE74-

Other vehicle 2

Which household members own this vehicle?

ENTER LINE NUMBER FOR HOUSEHOLD MEMBER(S). ENTER (N) FOR NO MORE.

-RE75-

Other vehicle 2

If this vehicle were sold, what would it sell for in its present condition?

-RE76-

Other vehicle 2

Is this vehicle owned free and clear, or is there still money owed on it?

(1) Money owed

(2) Free and clear

-RE77-

Other vehicle 2

How much is currently owed for thisvehicle?

VALUE OF BUSINESS TOPICAL MODULE

-ALINTRO-

These next questions concern assets and liabilities.

PRESS ENTER TO CONTINUE

-VB03-

As of (the last day of the reference period), what percent of [Name of Business] did you own?

(Value Between 1% and 100%)

-VB04-

****DO NOT READ TO RESPONDENT****

Has information below about the total value and total debt for [Name of Business] already been obtained from another household member?

(1) Yes

(2) No

-VB05-

As of (the last day of the reference period), what was the total value of [Name of Business] before figuring in any debts that might be owed against it?

\$_____ (N) None (H) Help

-VB07-

Was the value:

- (1) Less than \$1
- (2) Between \$1 and \$1,000
- (3) Between \$1,001 to \$ 10,000
- (4) Between \$ 10,001 to \$100,000
- (5) More than \$100,000?

-VB08-

As of (the last day of the reference period), what was the total debt owed against [Name of Business]?

\$_____ (N) None (H) Help

-VB10-

Was the debt:

(1) Less than \$1
 (2) Between \$1 to \$1,000
 (3) Between \$1,001 to \$10,000
 (4) Between \$10,001 to \$100,000
 (5) More than \$100,000?

INTEREST EARNING ACCOUNTS TOPICAL MODULE

-IAJ07-

I recorded earlier that you owned these assets jointly with your spouse:

an interest earning checking account

a savings account

a money market deposit account

a certificate of deposit (CD)

As of (last day of the reference period), what was the total amount that you and your spouse had in this/these jointly held account(s)?

(N) None

\$_____

-IAJ08-

Was it -

(1) Less than \$500
 (2) \$500 to \$1,000
 (3) \$1,001 to \$5,000
 (4) More than \$5,000

-IAI03-

Earlier I recorded that you owned the following assets in your own name:

an interest earning checking account a savings account a money market deposit acount a certificate of deposit (CD)

As of (the last day of the reference period), what was the total amount that you had in this/these account(s)?

(N) None

-IAI04-

Was it -

- (1) Less than \$500
- (2) \$500 to \$1,000
- (3) \$1,001 to \$5,000
- (4) More than \$5,000?

-IMJ05-

I recorded earlier that you and your spouse jointly owned:

Municipal or Corporate Bonds U.S. Government Securities

As of (the last day of the reference period), what was the total amount that you and your spouse had in this/these jointly held account(s)?

(N) None

\$_____

-IMJ06-

Was it -

(1) Less than \$1,000
 (2) \$1,000 to \$5,000
 (3) \$5,001 to \$10,000
 (4) More than \$10,000?

-IMI03-

Earlier you told me that you owned in your own name:

Municipal or Corporate Bonds U.S. Government Securities

As of (the last day of the reference period), what was the total amount that you held in this asset these assets?

(N) None

\$_____

-IMI04-

Was it -

(1) Less than \$1,000
 (2) \$1,000 to \$5,000
 (3) \$5,001 TO \$10,000
 (4) More than \$10,000?

RENTAL PROPERTY TOPICAL MODULE

-RJ01-

I recorded earlier that you owned rental property jointly with your spouse,

Did you and your spouse own rental property as of (the last day of the reference period)?

(1) Yes

(2) No

-RJ02-

How many properties did you own jointly with your spouse as of (the last day of the reference period)?

(01 to 99)

-RJ03-

What type of rental property do you own?

(Mark all that apply.) (Mark "N" for "No More" when finished.)

- (1) Vacation home
- (2) Other residential property
- (3) Farm property
- (4) Commercial property
- (5) Equipment
- (6) Other

-RJ04-

Please specify the type of property.

-RJ05-

Is the rental property attached to or located on the same land as your own residence?

(1) Yes

(2) No

-RJ06-

FR Instruction: Please ask or verify.

Were all of these properties attached to or located on the same land as your own residence?

(1) Yes

(2) No

-RJ07-

Excluding properties attached to or located on your own residence,

What was the total market value of the rental property as of (the last day of the reference period)?

\$_____

-RJ08-

Was it -

(1) Less than \$25,000

(2) \$25,000 to \$75,000

(3) \$75,001 to \$100,000

(4) More than \$100,000

-RJ09-

Excluding properties attached to or located on your own residence,

Was there a mortgage, deed of trust, or other debt on the property as of (the last day of the reference period)?

(1) Yes (2) No

-RJ10-

As of (the last day of the reference period), how much principal was owed on the properties?

(N) None

-RJ11-

Was it -

- (1) Less than \$25,000
- (2) \$25,000 to \$50,000
- (3) \$50,001 to \$100,000
- (4) More than \$100,000

-RI01-

I recorded earlier that you owned rental property in your own name.

Did you own any rental property in your own name as of (the last day of the reference period)?

- (1) Yes
- (2) No

-RI02-

How many properties did you own in your OWN name as of (the last day of the reference period)?

-RI03-

What type of rental property do you own?

(Mark all that apply.) (Mark "N" for "No More" when finished.)

- (1) Vacation home
- (2) Other residential property
- (3) Farm property
- (4) Commercial property
- (5) Equipment
- (6) Other

-RI04-

Please specify the type of property.

-RI05-

Is the rental property attached to or located on the same land as your own residence?

(1) Yes (2) No

(2) INO

-RI06-

FR Instruction: Ask or verify.

Were all of these properties attached to or located on the same land as your own residence?

(1) Yes

(2) No

-RI07-

Excluding properties attached to or located on own residence,

What was the total market value of the rental property as of (the last day of the reference period)?

\$_____

-RI08-

Was it -

- (1) Less than \$25,000
- (2) \$25,000 to \$75,000
- (3) \$75,001 to \$100,000
- (4) More than \$100,000

-RI09-

Excluding properties attached to or located on your own residence,

Was there a mortgage, deed of trust, or other debt on the property as of (the last day of the reference period)?

(1) Yes

(2) No

-RI10-

As of (the last day of the reference period), how much principal was owed on the rental property ?

(N) None

\$_____

-RI11-

Was it -

(1) Less than \$25,000
 (2) \$25,000 to \$50,000
 (3) \$50,001 to \$100,000
 (4) More than \$100,000

-RNT01-

I recorded earlier that you owned rental property jointly with other people besides your spouse. Did you jointly own any rental property jointly with other people besides your spouse as of (the last day of the reference period)?

(1) Yes

(2) No

-RNT02-

How many properties did you own jointly with other people as of (the last day of the reference period)?

-RNT03-

What type of rental property do you own?

(Mark all that apply) (Mark "N" for "No More" when finished.)

- (1) Vacation home
- (2) Other residential property
- (3) Farm property
- (4) Commercial property
- (5) Equipment
- (6) Other

-RNT04-

Please specify the type of property.

-RNT07-

What was the total market value of the rental property as of (the last day of the reference period)?

\$_____

-RNT08-

Was there a mortgage, deed of trust, or other debt on the rental property as of (the last day of the reference period)?

(1) Yes (2) No

-RNT09-

As of (the last day of the reference period), how much principal was owed on the rental property?

(N) None

\$_____

-RNT10-

What was the total value of your share of equity in the rental property owned jointly with others as of (the last day of the reference period)?

("Equity" is the total market value of the property, less any debts held against it.)

(N) None

-RNT11-

Was it -

(1) Less than \$25,000
 (2) \$25,000 to \$75,000
 (3) \$75,001 to \$100,000
 (4) More than \$100,000

STOCKS AND MUTUAL FUND SHARES TOPICAL MODULE

-SMJ02-

I recorded earlier that you owned mutual funds.

Did you own any of these funds jointly with your spouse as of (the last day of the reference period)?

(1) Yes

(2) No

-SMJ03-

I recorded earlier that you owned stocks.

Did you own any of these stocks jointly with your spouse as of (the last day of the reference period)?

(1) Yes (2) No

-SMJ04-

As of (the last day of the reference period), what was the market value of the stocks and mutual fund shares held jointly by you and your spouse?

(Exclude stock in own corporation if the value of that corporation was already obtained.)

(N) None

\$_____

-SMJ05-

Was it -

(1) Less than \$1,000

- (2) \$1,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) More then \$25,000?

-SMJ06-

Was any debt or margin account held against these jointly held stocks or mutual fund shares as of (the last day of the reference period)?

(1) Yes (2) No

-SMJ07-

As of (the last day of the reference period), what was the amount of the debt or margin account?

(N) None

\$_____

-SMI02-

Besides the stocks or mutual fund shares held jointly with your spouse, did you hold any other stocks or mutual fund shares in your own name as of (the last day of the reference period)?

(1) Yes

(2) No

-SMI03-

As of (the last day of the reference period), what was the market value of the stocks and mutual fund shares owned in your own name?

(Exclude stock in own corporation if value of that corporation was already obtained.)

(N) None

\$_____

-SMI04-

Was it -

(1) Less than \$1,000
 (2) \$1,000 to \$10,000
 (3) \$10,001 to \$25,000
 (4) More than \$25,000

-SMI05-

Did you have a debt or margin account held against these stocks or mutual funds as of (the last day of the reference period)?

(1) Yes (2) No

-SMI06-

As of (the last day of the reference period), what was the amount of the debt or margin account?

(N) None

MORTGAGES TOPICAL MODULE

-MO2A-

I recorded earlier that you jointly held a mortgage with your spouse.

As of (the last day of the reference period), how much principal was owned to you and your spouse on this mortgage?

(Include principal for all mortgages jointly held.)

(N) None

\$_____

-MO2B-

Was it -

(1) Less than \$10,000
 (2) \$10,000 to \$25,000
 (3) \$25,001 to \$50,000
 (4) Over \$50,000

-M04-

I recorded earlier that you owned a mortgage in your own name.

As of (the last day of the reference period), how much principal was owned to you on this mortgage or these mortgages?

(N) None

-MO5-

Was it -

(1) Less than \$10,000
 (2) \$10,000 to \$25,000
 (3) \$25,001 to \$50,000
 (4) Over \$50,000

OTHER ASSETS TOPICAL MODULE

-OA02-

Earlier you reported owning other financial investments:

[LIST OF OTHER INVESTMENTS]

As of (the last day of the reference period), what was your equity in these investments?

(Equity is the total market value of the property, less any debts held against it. If the investment is jointly owned, count only your share of equity.)

(N) None

\$_____

-OA03-

Was it -

(1) Less than \$1,000
 (2) \$1,000 to \$10,000
 (3) \$10,001 to \$25,000
 (4) More than \$25,000?

APPENDIX B

Working Papers

This appendix provides a list of SIPP Working Papers. These papers are available on the Census Bureau's Internet site *http://www.census.gov*

Old	New	
(8401)	1	(Update No. 1, Revised 12/85) "An Overview of the Survey of Income and Program Participation," D. NELSON, D. B. MCMILLEN, and D. KASPRZYK (Census Bureau)
(8501)	2	"The Survey of Income and Program Participation: Uses and Applications," K. S. SHORT (Census Bureau)
(8502)	3	"Applications of a Matched File Linking the Bureau of the Census Survey of Income and Program Participation and Economic Data," S. HABER (The George Washington University)
(8503)	4	"Using the Survey of Income and Program Participation for Research on the Older Population," D. B. MCMILLEN, C. M. TAEUBER, and J. MARKS (Census Bureau)
(8504)	5	"Summary of the Content of the 1984 Panel of the Survey of Income and Program Participation," D. T. FRANKEL (Census Bureau)
(8505)	6	"Enhancing Data from the Survey of Income and Program Participation with Data from Economic Censuses and Surveys," D. K. SATER (Census Bureau)
(8506)	7	"Methodologies for Imputing Longitudinal Survey Items," V. J. HUGGINS, L. WEIDMAN, and M. E. SAMUHEL (Census Bureau)
(8507)	8	"New Household Survey and the CPS: A Look at Labor Force Differences," P. M. RYSCAVAGE (Census Bureau) and J. E. BREGGER (Bureau of Labor Statistics)
(8601)	9	"Some Aspects of SIPP," compiled and edited by R. A. HERRIOT and D. KASPRZYK (Census Bureau)
(8602)	10	"Nonsampling Error Issues in the SIPP," G. KALTON (University of Michigan), D. B. MCMILLEN, and D. KASPRZYK (Census Bureau)
(8603)	11	"An Investigation of Model-Based Imputation Procedures Using Data from the Income Survey Development Program," V. J. HUGGINS and L. WEIDMAN (Census Bureau)
(8604)	12	"Food Stamp Participation: A Comparison of SIPP with Administrative Records, S. CARLSON and R. DALRYMPLE (Food and Nutrition Service)
(8605)	13	"SIPP Longitudinal Household Estimation for the Proposed Longitudinal Definition," L. R. ERNST (Census Bureau)
(8606)	14	"A Comparison of Seven Imputation Procedures for the 1979 Panel of the Income Survey Development Program," V. J. HUGGINS (Census Bureau)