

AES Newsletter

Volume 1, Issue 9

February 2001

AES AND AESDIRECT CHANGES

October 1, 2000

Exporter

All references to the "Exporter" in AES and AESDirect were changed to "U.S. Principal Party in Interest (USPPI)". This change reflects the amended Foreign Trade Statistics Regulations.

State Department Licensed Shipments

AES no longer accepts a DSP-05 license that is not on file in AES. AES now returns error 184 FATAL ERR -INVALID DSP-05 LICENSE NUMBER.

State Department licensed shipments with a zero value for those commodities not requiring a value are now accepted.

Continued in column 2

**AUTOMATED EXPORT SYSTEM BRANCH
BUREAU OF CENSUS
FOREIGN TRADE DIVISION
WASHINGTON, DC 20233**

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January 1, 2001

Routed Export Transaction Flag

This flag is a new mandatory data element required for Options 2 and 4 and Option 3 post departure filings regardless of the export information code reported. If this data element is not reported or reported as anything other than a "Y" or "N", error messages 188 Fatal Error - Missing Routed Export Transaction or 189 Fatal Error - Invalid Routed Export Transaction will be generated. This element is reported in the SC2 record of the Customs Proprietary Format and the M12 Record of the X12 Format. Filers reporting changes to data submitted prior to January 1, 2001 will not be required to report the routed export transaction flag.

ECCN Format Edit

Unless EAR99 is acceptable, report the ECCN in the acceptable format (NANNN). EAR99 is not an acceptable ECCN for license codes C32, C35, C36 and C37. A warning message is returned for either of these situations. A fatal error is generated for license codes C30 and C31 when a license number is not reported or is invalid. ♦

HAROLD BLYWEISS RETIRES

We send our best wishes to Hal who retired from the Census Bureau's Foreign Trade Division after 39 years of service. We say thank you for the numerous contributions to AES. We are all richer as a result of your knowledge and understanding of the exporting industry. ♦



HAMMER AWARD FOR AES TEAM

The AES Team (56 members from the Census Bureau and 57 members from the Customs Service) received one of the last Hammer Awards sponsored by former Vice President Gore's National Performance Review on December 12, 2000. The National Performance Review recognizes teams that make government work better, cost less and get results that America cares about. The AES Team was recognized for reinventing the process of export shipment reporting by replacing paper documents and manual procedures with electronic data transmissions and e-commerce, thereby saving time and money for the government and the exporting trade community. ♦

AESDirect submitted its one-millionth Shipper's Export Declaration Transaction to AES on January 18, 2001.

Customs' Corner

Customs continues work on the following enhancements to AES:

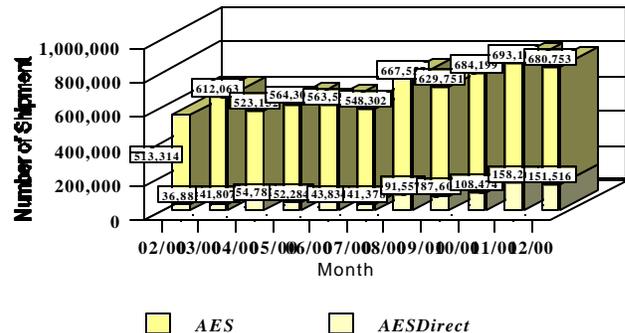
Vessel Module
NVOCC Module
Air Module
In-Transit
Vehicle Export

PRESIDENTIAL RANK AWARD

Thomas L. Mesenbourg, Assistant Director for Economic Programs, Census Bureau was awarded the prestigious Meritorious Presidential Rank Award for sustained accomplishments in fostering customer-based program reforms and reengineering basic operating systems. He adopted a single data processing system and programming language for 120 economic statistics programs. He introduced new electronic reporting methods and reduced business-reporting burden. Some results include the Automated Export System where exporters file electronically Shipper's Export Declaration data and a system where businesses will have the option of reporting data electronically for the next Economic Census.

The Presidential Rank Award is reserved for executives who have provided great service to the American people over an extended period of time by consistently demonstrating leadership strength, integrity, industry and a relentless commitment to public service. ♦

AES SHIPMENTS



AES Statistics

AES – January 2001*

Filers – 4,076
 LOIs – 6,959
 Shipments – 683,984
 Option 4 Applicants – 2,290
 Option 4 Approvals – 2,194

*Includes AESDirect

AESDirect – January 2001

Registrants – 5,970
 Operational – 3,628
 Shipments – 159,272

DATA ENTRY CENTER (DEC) PARTICIPATION in AES

Sea Carriers, Air Couriers, Air Consolidators and Non-Vessel Operating Common Carriers (NVOCCs) can key Shipper's Export Declaration (SED) data into AES via Options 2 and 3 only, under the respective DEC agreement signed with the Census Bureau. The agreement designates responsibility of achieving a goal of 100% electronic filing of SED information by the DEC or its customers in AES. The DEC is permitted to phase-in the pre-departure electronic filing within the time period outlined in the respective agreement, three years for the Sea Carriers and the Air Couriers and one year for the Air Consolidators and the NVOCCs. Air Couriers and Sea Carriers must by the end of the third year and Air Consolidators and NVOCCs by the end of the first year file at least 95% of their value pre-departure and the remaining 5% within 24 hours.

AESDirect includes the DEC option on the registration form and a link to the agreement. The registration form is forwarded to the Census Bureau to be formalized. The DEC registrant is temporarily setup with a username and password to take the tutorial and certification quiz. When the DEC agreement is finalized with signatures of both Census and the company, the company will become operational. Under normal circumstances, it takes less than 24 hours to receive a username and password after passing the certification quiz, however, it could take up to five business days. If you have signed your agreement and have not received your username and password for production, call *AESDirect* Support on 1-877-715-4433. Participants can be properly registered as forwarders and DEC's simultaneously. However, each registration must have a unique Filer ID but the tutorial and certification quiz need be taken only once.

Those DEC participants sending Letters of Intent for AES also may file shipments as a forwarder and a DEC. Information is submitted in the Party ID, Party ID Type and Party Type fields in the NO1 record of the Customs Proprietary format. As a DEC, report the Party ID as "DEC", Party ID Type as "X" and Party Type as "D". As a forwarder, report Party ID as "Forwarder", Party ID Type as "D, S or E" and Party Type as "F".

Signatories to the DEC agreements are responsible for resolving all fatal errors immediately and selected warning errors within 4 days and reminder messages as appropriate.

Any NVOCC who wants to transmit SED information electronically must be a DEC, otherwise power-of-attorney is required to transmit these data electronically. If you have power-of-attorney you are operating as a forwarding agent. An increasing number of NVOCCs and carriers have been registering in *AESDirect* as forwarders. Only those companies with power-of-attorney or written authorization to act as forwarders are in compliance, all others are representing themselves improperly as forwarders, which is not allowed. This also applies to those DEC participants who file Letters of Intent for AES.

As a DEC, you can file data electronically for other NVOCCs, forwarders or U.S. Principal Parties in Interest.

If you are both an NVOCC and an Air Consolidator, you do not need an agreement for each. You can file ocean shipments under the Air Consolidator Agreement and you can file air shipments under the NVOCC agreement. You should request the agreement that best suits your company's primary business.

You may sign an agreement for your company as a whole or each branch may sign their own agreement. That is a company decision. If you sign one agreement and provide one ID number, all e-mail messages will go to that one account. It would be the responsibility of that person to disperse the e-mails to the originating office. ♦

AES and AESDirect on the Road

Staff from the AES Branch will be making presentations and conducting workshops at various trade shows and meetings. Some of these trade shows and meetings are sponsored by private concerns and a fee may be charged. Our participation is intended to dispense information and does not imply endorsement of the sponsor. **Log onto our web site for locations and specific dates of the listed meetings and other conferences, exhibits, workshops, etc.**

<u>Date</u>	<u>Meeting/Workshop</u>	<u>Contact Phone No.</u>
Feb 20 - 23	Los Angeles AES Public Meeting AESDirect Certification Workshop AES User Meeting	1-800-549-0595
Mar 1	New York, NY AESDirect Certification Workshop	1-800-549-0595
Mar 4 - 7	San Francisco, CA NCBFAA Conference & Exhibit	
Mar 8 - 9	San Francisco, CA AES Public Meeting AESDirect Certification Workshop	1-800-549-0595
Mar 19 - 20	Miami, FL Journal of Commerce Conference & Exhibit	
Mar 21	Los Angeles, CA AESDirect Certification Workshop	1-800-549-0595
Mar 22	Otay Mesa, CA AESDirect Certification Workshop	1-800-549-0595
Mar 23	Miami, FL AESDirect Certification Workshop	1-800-549-0595
Apr 10	Pittsburgh, PA AESDirect Certification Workshop	412-395-4875
Apr 11	Erie, PA AESDirect Certification Workshop	412-395-4875

AESDirect Certification Workshops are designed for the serious filer who desires information and immediate registration to use AESDirect. If you are interested in having one of these workshops in your area or if you or any organization you know of would like to sponsor a meeting or desire our participation in a meeting, please contact:

Dorothy Brown
(301) 457-6855

E-mail: dorothy.lee.brown@census.gov

REPORTING PROBLEMS AND CONCERNS

EXEMPTION STATEMENT

A missing or invalid exemption statement may delay your shipment. This statement is the only means a Customs Inspector has to verify the exported merchandise. Report the exemption statement in the proper format as specified in FTSR Letter NO. 168 (Amendment 1), clearly and completely on the first page of the shipping documents in an easy to find location. You can find the FTSR Letter on the Census web site:

www.census.gov/foreign-trade

PORT OF EXPORT

The port of export is defined as the Customs Port where the cargo is laden on the carrier taking the merchandise to a foreign country or a non-foreign area. For overland shipments, it is the Customs Port where or nearest to where the carrier or person crosses the border. Customs can require all shipments reported through AES to be shown for inspection at the port reported. Do not report the port where the data are entered into AES.

USERNAME AND PASSWORD SECURITY

Submission of a registration to *AESDirect*, certifies that the registering company is and will continue to be in compliance with all applicable laws and regulations. This includes complying with the security regulations that usernames and passwords be neither written down nor ever disclosed to any unauthorized user or any persons outside of the registered company. The registered company is responsible for those persons having authorized use of its username and password. If an employee with access to the username and password leaves the company or otherwise is no longer an authorized user, the company must apply to the Census Bureau's *AESDirect* site immediately for a new username and password in order to ensure the integrity and confidentiality of Title 13 data. Any violation of these security regulations will result in immediate loss of privilege to use *AESDirect* for a period of up to one (1) year. ♦

FUTURE CHANGES TO AES

INBOND CODES

Currently, AES can not close out an in-bond movement and processes export shipments as not moving in bond as designated by code **"70" - Not In-Bond**. All other codes, **"36" - Warehouse withdrawal for IE**, **"37" - Warehouse Withdrawal for T&E**, **"62" - T&E**, **"63" - IE**, **"67" - IE from a Foreign Trade Zone**, **"68" - T&E from a Foreign Trade Zone** are reported for informational purposes only. A change will be made to not accept the reporting of in-bond codes **"62" - T&E** and **"63" - IE**. Reporting these codes will generate **FATAL ERROR 128 - Invalid In bond Code**.

DATE OF EXPORT

A transmission with a date more than **120 days** in the future of the system date will receive **FATAL ERROR 195-Unlikely Date of Export**.

MAXIMUM VALUES

Reported values equal to or greater than **\$1,000,000,000** for Schedule B Chapter 88 classification numbers and values greater than **\$500,000,000** for all other classification numbers will each generate respectively, **FATAL ERROR 197-Value Exceeds \$999,999,999** and **FATAL ERROR 196-Value Exceeds \$499,999,999**.

FLYAWAY AIRCRAFT

For aircraft moving under its own power, report MOT code "40" and "****" or "990" as the IATA code for the Customs Proprietary format or "990" for the X12 format. Some warning and verify messages will not be generated. ♦

AES Monitoring Reports

Starting in October 2000, the AES Branch began monitoring late filing, reporting errors and average value and record counts. Companies receiving an error rate of 5% or more are notified that they are approaching a level of unacceptable performance. However, any company whether or not approaching this level may request a report. Call Nan Clark on 301-457-3787 or e-mail her at nannie.m.clark@census.gov. ♦

More AES Telephone Numbers

The AES Branch has more telephone numbers. Please note the change in numbers for some of the client representatives. However, we retained all of the previous numbers so you will reach a member of the Branch. ♦

We need your e-mail address

Please send your e-mail address to:

ASKAES@census.gov

Include in the message the name of the company and the name of the contact person. This helps us get information to you more timely. ♦

PHONE NUMBERS AND E-MAIL ADDRESSES

GERRY HORNER, CHIEF, AES BRANCH	(301) 457-6856	GERARD.J.HORNER@CENSUS.GOV
DOROTHY BROWN, AES <i>DIRECT</i> LIAISON	(301) 457-6855	DOROTHY.LEE.BROWN@CENSUS.GOV
NAN CLARK, MARKETING ASSISTANT	(301) 457-3787	NANNIE.M.CLARK@CENSUS.GOV
WENDY PEEBLES, SUPERVISORY CLIENT REPRESENTATIVE	(301) 457-3540	WENDY.D.PEEBLES@CENSUS.GOV
AES CLIENT REPRESENTATIVES:		
KRISTAL BYRD	(301) 457-0328	KRISTAL.ANTOINETTE.BYRD@CENSUS.GOV
ROB CHESTNUT	(301) 457-0320	ROBERT.D.CHESTNUT@CENSUS.GOV
JACQUELYN MANN	(301) 457-0326	JACQUELYN.E.MANN@CENSUS.GOV
JOEY MORALES	(301) 457-8012	JOEY.MORALES@CENSUS.GOV
PAUL NEWMAN	(301) 457-3541	PAUL.FREDRIC.NEWMAN@CENSUS.GOV
LILY WONG	(301) 457-0327	LILY.C.WONG@CENSUS.GOV
OMARI WOODEN	(301) 457-3829	OMARI.S.WOODEN@CENSUS.GOV
REGULATIONS BRANCH (SED QUESTIONS):	(301) 457-2238	
COMMODITY ANALYSIS BRANCH (SCHEDULE B CLASSIFICATION QUESTIONS):		
DURABLE GOODS:	(301) 457-3259	
NON-DURABLE GOODS:	(301) 457-3484	
BUREAU OF EXPORT ADMINISTRATION (LICENSING QUESTIONS)		
WASHINGTON, DC	(202) 482-4811	
WESTERN REGIONAL OFFICE	(714) 660-0144	

WEB SITES

CENSUS BUREAU, FOREIGN TRADE DIVISION	HTTP://WWW.CENSUS.GOV/FOREIGN-TRADE
U.S. CUSTOMS SERVICE	HTTP://WWW.CUSTOMS.TREAS.GOV/AES
BUREAU OF EXPORT ADMINISTRATION:	HTTP://WWW.BXA.DOC.GOV

U.S. CUSTOMS CLIENT REPRESENTATIVE LISTING

CLIENT REPRESENTATIVE		TELEPHONE #	FAX #
ALBERT, ELLEN	MIAMI	305-869-2781	305-869-2783
BURDORF, DEBBY	CLEVELAND	440-891-3814	440-891-3839
BUSIC, ALAN	CHICAGO	312-983-1340, or 1376	312-886-5106
CALAFELL, ELOISA	MIAMI	305-869-2780	305-869-2783
CLARK, KAREN	BOSTON	617-565-6342	617-565-8190
COONEY, MIKE	SEATTLE	206-553-5227	206-553-2517
DIX, ROBERT	BALTIMORE	410-962-5662	410-962-5652
DUPRE, CYNTHIA	NEW ORLEANS	504-670-2418	504-670-2435
EBY, TRISH	SEATTLE	206-553-1622	206-553-2517
FANELLI	BUFFALO	716-551-3520 X142	716-551-3066
FIKE, KAY	SAN FRANCISCO	415-782-9445	415-705-1652
FISCHER, RICK,	HOUSTON	713-387-7249	713-313-2933
FLOYD, CORA	HEADQUARTERS	202-927-0247	202-927-1344
FULKERSON, MICK	CHARLOTTE	704-329-6206	704-329-6192
HEATH, JOE	PHILADELPHIA	215-597-9920	215-597-9929
HENDERSON, KAREN	HOUSTON	713-387-7247	713-313-2933
HENRY, CONRAD	HEADQUARTERS	202-927-0332	202-927-1344
HUCK, KEVIN	NEWINGTON	703-921-7527	703-921-7561
JEGELS, JACKIE	WALDORF-TCS	301-893-6717	301-705-9834
KERSTEIN, ROBERT	LOS ANGELES	310-514-6073	310-514-6964
KORMOS, KATHY	NEWINGTON	703-921-7495	703-921-7561
KWIATHOWSKI, LORI	BUFFALO	716-551-3520 X141	716-551-3066
LALLY, BECKY	LAUREL-TCS	301-470-0567	301-362-7345
LARK, MARGARET	CHARLOTTE	704-329-6206	704-329-6192
MASKELL, SUSAN	NEWINGTON	703-921-7491	703-921-7561
MAZZARELLA, MICHAEL	JFK	718-553-2065	718-553-1760
McELHINNEY, TARA	LAX	310-215-1392	310-215-1302
MITCHELL, MIKE	JFK	718-553-2065	718-553-1760
MITCHELL, ROBERT	SAN FRANCISCO	415-782-9445	415-705-1652
MOHR, MIKE	NEWINGTON	703-921-7072	703-921-7561
NAPOLITANO, WILLIAM	BALTIMORE	410-962-5660	410-962-5652
NAVARRETE, MARIA	LOS ANGELES	310-514-6073	310-514-6964
NICKLES, PATRICIA	LAX	310-215-1392	310-215-1302
O'BRIEN, JOHN	NEWARK	201-443-0570	201-443-0575
OGLIORE, JOHN	SEATTLE	206-553-5228	206-553-2517
PENCE, JANET	NEWINGTON	703-921-7550	703-921-7561
RAMOS, MARIO I.	SAN JUAN	787-289-7985	787-729-6810
RODIER, WALLY	JFK	718-553-2065	718-553-1760
RUBENSTEIN, PHYLLIS	CHICAGO	312-983-1342, or 1376	312-886-5106
SPARTA, STEPHEN	NEWARK	201-443-0570	201-443-0575
SPRAGUE, KATHY	NEWARK	201-443-0570	201-443-0575
STAHL, JAMES	PHILADELPHIA	215-597-9906	215-597-9929
TYSON, HOLLY	BALTIMORE	410-962-5662	410-962-5652
VAUGHN, WALTER	NEW ORLEANS	504-670-2415	504-670-2435
VILLWOCK, BLAINE	NEWINGTON	703-921-7496	703-921-7561
WASILUS, KENNETH	NEWARK	201-443-0570	201-443-0575
WELTER, PATRICIA	MIAMI	305-869-2782	305-869-2783
WILSON, DALE	HOUSTON	713-313-2897	713-313-2933
WOLFORD, JAMES	CHARLOTTE	704-329-6206	704-329-6192
WORKMAN, ALLA	CLEVELAND	440-891-3814	440-891-3839

