Will They Answer the Phone If They Know It's Us? Using Caller ID to Improve Response Rates

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About NASS

- Collects data from farm & ranch operators on farm production, yields, economics, crop practices, etc.
- Conducts over 400 surveys per year
- Farm & ranch operations are establishments, but many are also households
- Contact the same operations year after year (particularly large operations or those that produce specialty commodities)







Study Motivation

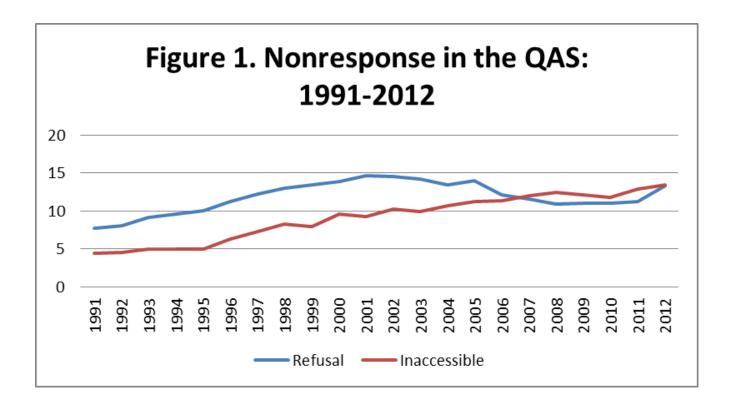
- Survey response rates have been declining over the last two decades
- Nonresponse = refusals, inaccessibles







Quarterly Agriculture Survey Nonresponse









Reasons for Rising NR

- Overburden of respondents
- Call screening technologies
- Rise in cell phone only households
- Changes in social climate
- Attitudes toward privacy & confidentiality
- Agency reorganization (NASS)







Caller ID and Nonresponse

- Anecdotal evidence at NASS
 - MT field office
 - WY field office
- Research at other organizations has produced mixed findings
 - U of SC
 - Census Bureau
 - NORC
 - Gallup







Current Study

Research Questions:

- 1) Are respondents more likely to answer the phone if we contact them using an in-state telephone number?
- 2) Are respondents more likely to participate in a survey if we contact them using an in-state telephone number?

Method:

- Two experiments on the Sept. and Dec. Quarterly Agriculture Survey





Quarterly Agriculture Survey (QAS)

- Provides detailed estimates of crop acreage, yields and production, and quantities of grain and oilseeds stored on farms.
- Conducted every quarter in March, June, Sept and Dec in all states
- Targeted sample per quarter ranges from 65k-81k
- More than 75% of data collected over phone







Experiment 1

NE September QAS Sample (N=1,452)

Conditions	Area Code	Identifier
Control	МО	Ag Counts
Experiment	NE	Ag Counts

- Twelve enumerators were randomly selected to conduct the experiment.
- Disclosure statement







Exp 1: Call Attempts

Table 1. Attempts Leading to an Answer by Area Code For Nebraska September Ag Survey (N=2,884)

	Area Code			
	Out of State (MO) In State (NE)			
	Count	Percent	Count	Percent
Answer ¹	631	46.50	676	44.27
No Answer ²	726	53.50	851	55.73
Total	1357	100	1527	100

Answer = a call that yields an interview (partial or complete), a disconnect, or an appointment





²No Answer = a call that yields a no answer, busy signal, or answering machine



Exp 1: Response Outcomes

Table 2. Response Outcome¹ by Area Code For Nebraska September Ag Survey (N=810)

	Area Code			
	Out of State (MO)		In State (NE)	
	Count	Percent	Count	Percent
Interview	277	70.66	287	68.66
Refusal	108	27.55	123	29.43
Inaccessible	7	1.79	8	1.91
Total	392	100	418	100

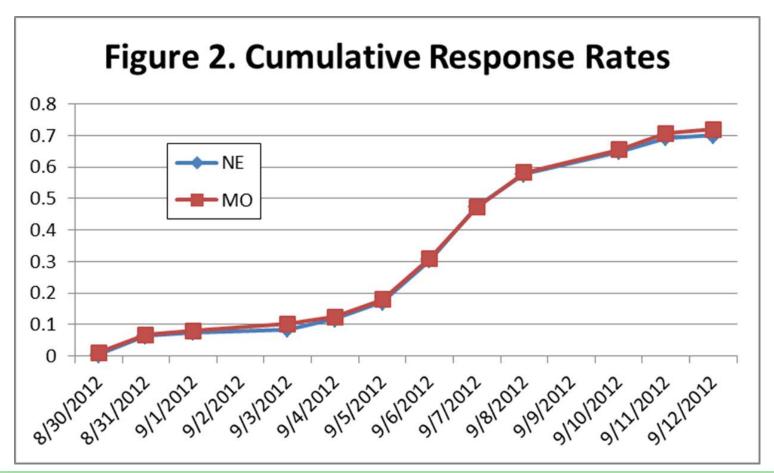
¹Based on completion code 9901







Exp 1. Cumulative Response Rates









Exp. 1 Conclusions & Limitations

 No improvement in answered calls or response rates when using in-state area codes

Limitations:

- Don't know if respondents screen calls
- Couldn't track what appears on caller ID
- Couldn't tracking calling mode







Experiment 2

MN & OH December QAS Sample (N=3,148)

	Area Code	Identifier
Control	МО	Ag Counts
Condition 1	MN/OH	Ag Counts
Condition 2	MN/OH	USDA

- 52 enumerators randomly assigned to conduct exp.
- Mode indicator in Blaise
- Disclosure statement
- Surveyed respondents on caller ID use







Exp 2: Call Attempts

Table 3. Attempts Leading to an Answer by Area Code for Minnesota and Ohio December Ag Survey (N=5,805)¹

	Area Code			
	Out of State (MO) In State (MN/OH)			(MN/OH)
	Count	Percent	Count	Percent
Answer ²	1174	32.14	827	38.43
No Answer ³	2479	67.86	1325	61.57
Total	3653	100	2152	100

¹Table information derived from the dial menu information





²Answer = a call that yields an interview (partial or complete), a disconnect, or an appointment

³No Answer = a call that yields a no answer, busy signal, or answering machine



Exp 2: Response Outcomes

Table 4. Response Outcome¹ by Area Code for Minnesota and Ohio December Ag Survey (N=1937)

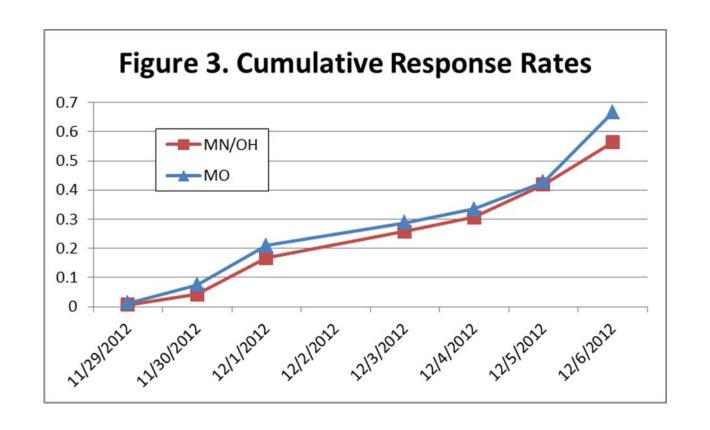
,	Area Code			
	Out of S	Out of State (MO) In State (MN/OH)		
	Count	Percent	Count	Percent
Interview	868	66.56	357	56.40
Refusal	436	33.44	276	43.60
Total	1304	100	633	100







Exp 2: Cumulative Response Rates









Caller ID Survey Questions

 1,005 respondents answered the survey question on caller ID

- 55% of these respondents had caller ID
 - Of those 57% looked at caller ID before answering (N=313)







Information Reported on Caller ID

Table 5. Distribution of Information Reported on Respondents' Caller ID (N=226)

	Area Code		
	Out-of-State (MO)	In-State (MN/OH)	
MO number	44	8	
MN/OH number	14	45	
"Ag Counts"	22	0	
"USDA"	2	3	
"Ag Counts" & MO number	16	2	
"Ag Counts" & MN/OH number	9	2	
"USDA" & MO number	2	1	
Unavailable number	7	0	
Unknown cell phone caller	10	4	
Out of area number	10	0	
Other	12	10	
Refused/Don't know	2	1	
Total	150	76	

Note: No respondents reported seeing USDA & MN/OH number on caller ID.







Influence of Caller ID

Table 6. Information Displayed on Caller ID and Willingness to Answer

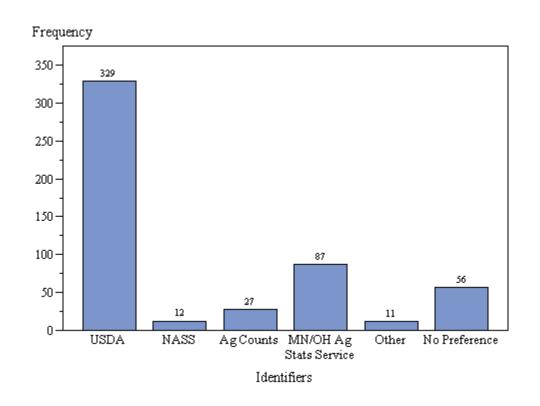
	More Hesitant	More Willing	No Influence	Number
Overall	44	62	109	215
	20.37%	28.70%	50.93%	
Specific Display				
MO Number	7	7	35	49
	14.29%	14.29%	71.43%	
MN/OH Number	6	19	33	58
	10.34%	32.76%	56.90%	
Ag Counts	9	22	16	47
	19.15%	46.81%	34.04%	
USDA	0	6	3	9
	0.00%	66.67%	33.33%	
Listing Unknown	13	6	12	31
-	41.94%	19.35%	38.71%	
Other	9	2	10	21
	42.86%	9.52%	47.62%	







Identifiers Preferred by Respondents









Exp 2: Conclusions

- Small improvement in answered calls
- No improvement in response rates
- Many respondents did not have caller ID or did not look at before answering
- Overall, costs outweigh benefits

Limitations:

- Condition assignment not always adhered to
- Information not displaying on caller ID







Logistical Challenges to Manipulating Caller ID

- Selecting area codes
- Limited to cell phones
- Caller ID directories/controlling what appeared on caller ID
- Disclosure statement
- Tracking calling modes







Discussion/Implications

- Small improvement in answered calls
- Inclined to answer calls from in-state area codes and USDA
- No improvement in response rates
- Very few respondents utilize caller ID
- Inconsistencies in caller ID displays
- Not a cost-effective way to improve response rates





Questions...

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Thank you!



